

The Evolution of SBRT and Hypofractionation in Thoracic Radiation Oncology

(specifically, lung cancer)

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Outline

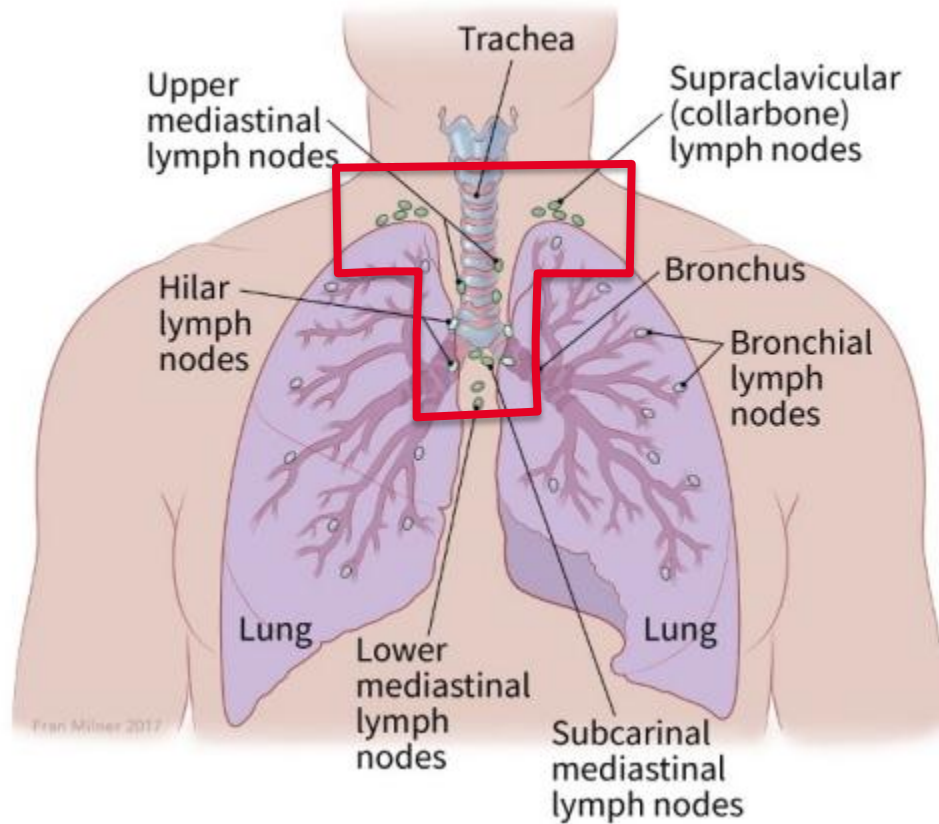
- **The history of definitive radiotherapy for lung cancer**
 - Dose escalation without chemo improves local control
 - Improved technology allows further dose escalation safely
 - Benefit of extreme dose escalation is complicated
 - In modern era, we have hit a wall
 - Technology aside
 - New technologies improve accuracy, open a door
- **Searching for a different path**
 - Development of SBRT in Japan
 - Phase I in US
 - RTOG 0236 - Changing the game
 - Radiobiology aside
 - Population studies show survival advantage
- **Future directions for SBRT**
 - Towards ideal fractionation for central/ultracentral
 - Expanding the pool of pts – treating T3
 - RTOG 0915 – can we use 1 fraction?
- **Applying the principles of SBRT to stage III**
 - Hypofractionation without chemotherapy (60 Gy/15 fx)
 - Hypofractionation with concurrent chemotherapy (RTOG 1106)
 - SBRT boost
- **Conclusion**

Lung Cancer Staging

- **Stage I-II**
 - N0-N1
- **Stage III**
 - Any N2-3
 - (T3N1)
 - (T4N0)

T/M	Label	N0	N1	N2	N3
T1	T1a ≤ 1	IA1	IIB	IIIA	IIIB
	T1b >1-2	IA2	IIB	IIIA	IIIB
	T1c >2-3	IA3	IIB	IIIA	IIIB
T2	T2a <i>Cent, Yisc Pl</i>	IB	IIB	IIIA	IIIB
	T2a >3-4	IB	IIB	IIIA	IIIB
	T2b >4-5	IIA	IIB	IIIA	IIIB
T3	T3 >5-7	IIB	IIIA	IIIB	IIIC
	T3 <i>Inv</i>	IIB	IIIA	IIIB	IIIC
	T3 <i>Satell</i>	IIB	IIIA	IIIB	IIIC
T4	T4 >7	IIIA	IIIA	IIIB	IIIC
	T4 <i>Inv</i>	IIIA	IIIA	IIIB	IIIC
	T4 <i>Ipsi Nod</i>	IIIA	IIIA	IIIB	IIIC
M1	M1a <i>Contr Nod</i>	IVA	IVA	IVA	IVA
	M1a <i>PI Dissem</i>	IVA	IVA	IVA	IVA
	M1b <i>Single</i>	IVA	IVA	IVA	IVA
	M1c <i>Multi</i>	IVB	IVB	IVB	IVB

Lung Cancer Staging



Radiation for stage III NSCLC

- **Current standard of care for unresectable stage III:**
 - **60 Gy/30 fx with concurrent chemotherapy**
- **Management of potentially resectable stage III is controversial**
 - **Not addressed here**

How did we get here?

A (BRIEF) HISTORY OF DEFINITIVE RADIOTHERAPY FOR LUNG CANCER

Dose escalation improves LC

RTOG 73-01

- **Unresectable NSCLC**
- **Randomized**
- **40 Gy split course or 40 Gy, 50 Gy, or 60 Gy continuous**
 - **No chemo**
 - **Old radiation techniques (2D)**
- **LC rates increased with dose: 52%, 62%, and 73%, respectively**
- **No difference in OS (MS ~ 10 mos and 3 yr OS <10%)**

Improved technology allows further escalation

RTOG 93-11

- **Unresectable NSCLC**
- **Used 3D technology (CT scans!)**
- **Ph I-II dose escalation study**
- **Sequential chemotherapy**
- **Escalated to 90.3 Gy @ 2.15 Gy/fx based on dose to normal lung (V20)**
- **Maximum tolerated dose:**
 - **83.8 Gy/39 fx in low V20 group**
 - **77.4 Gy/36 fx in high V20 group**

Modern era incorporates chemo

- Current standard is concurrent chemotherapy
 - Concurrent > sequential > dose-escalated RT alone

We've reached a wall

RTOG 0617

- **Stage III, unresectable pts only**
- **Ph III – 2 x 2 trial**
 - **Concurrent + consolidation carbo/paclitaxel**
 - **74 vs 60 Gy +/- cetuximab**
- **74 Gy vs 60 Gy**
 - **No improvement in LF (1 yr):**
 - **24.8% vs 16.3% (p=0.13)**
 - **Detriment to OS (1 yr):**
 - **69.8% vs 80% (p=0.004)**

Where do we go from here?

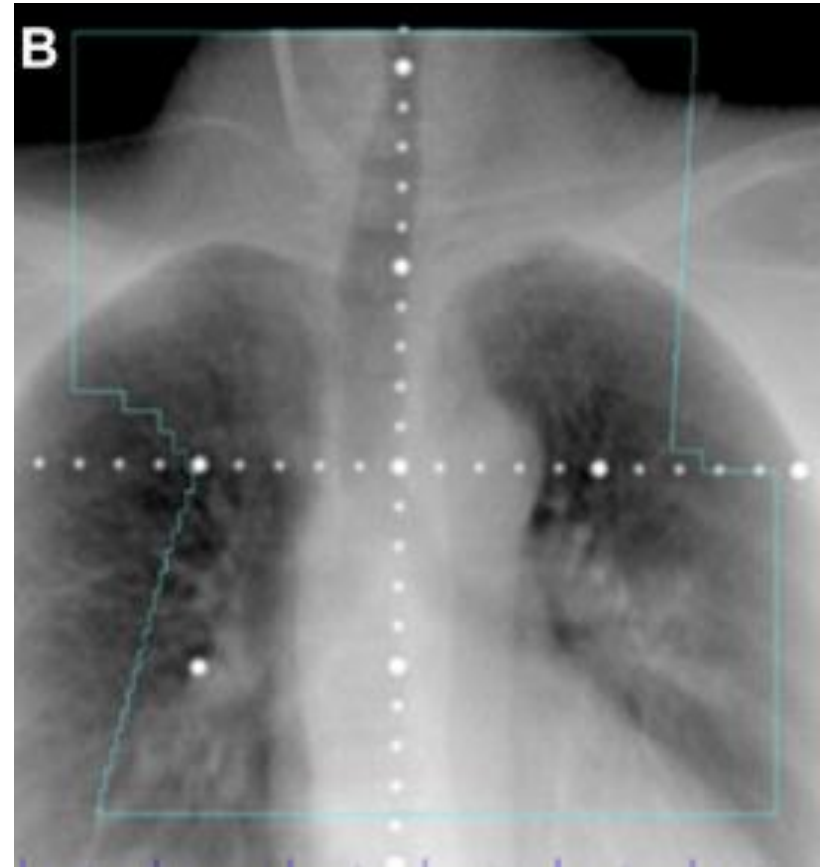
- **Stuck with 60 Gy in 2 Gy fractions with chemo?**
- **Clues from RTOG 0617**
 - **Allowed 3D conformal OR IMRT**
 - **Approx 50% each**
 - **IMRT:**
 - **Less risk of severe pneumonitis**
 - **Lower cardiac dose**
 - **No difference in outcomes – despite more advanced tumors**
 - **Cardiopulmonary toxicity from dose escalation may have been clinically meaningful**
- **Further technologic advances may open a door**

Quick technology aside

- **2D**
- **3D**
- **IMRT**

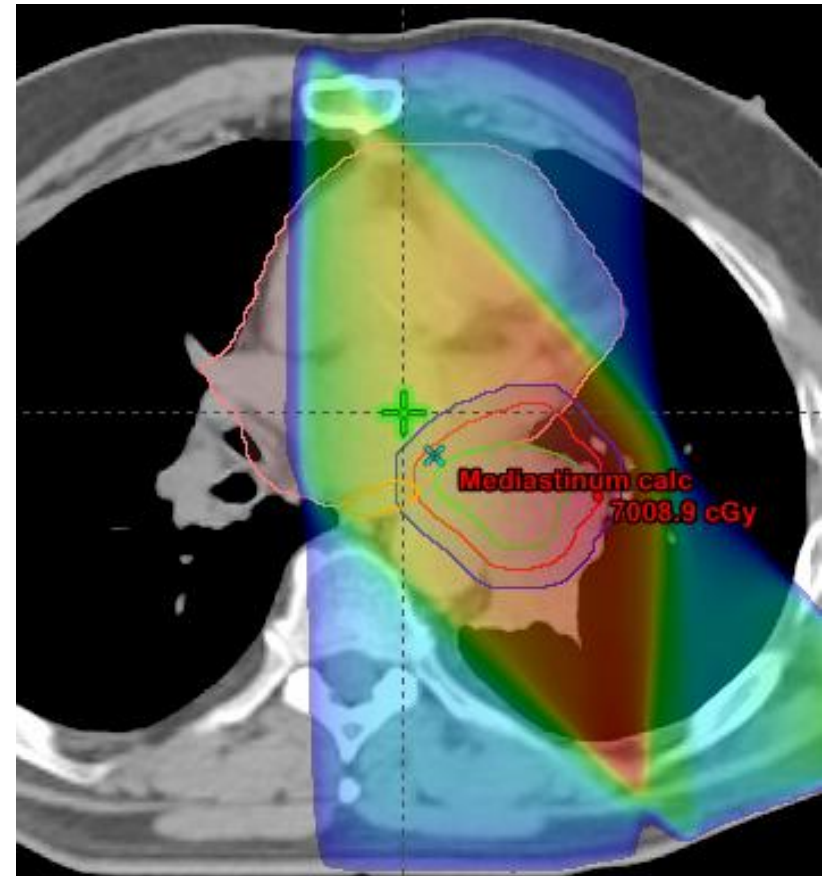
2D planning

- Oldest technique
- Radiographs are taken with fluoro
- Fields are drawn on radiographs
- Limited ability to spare normal structures



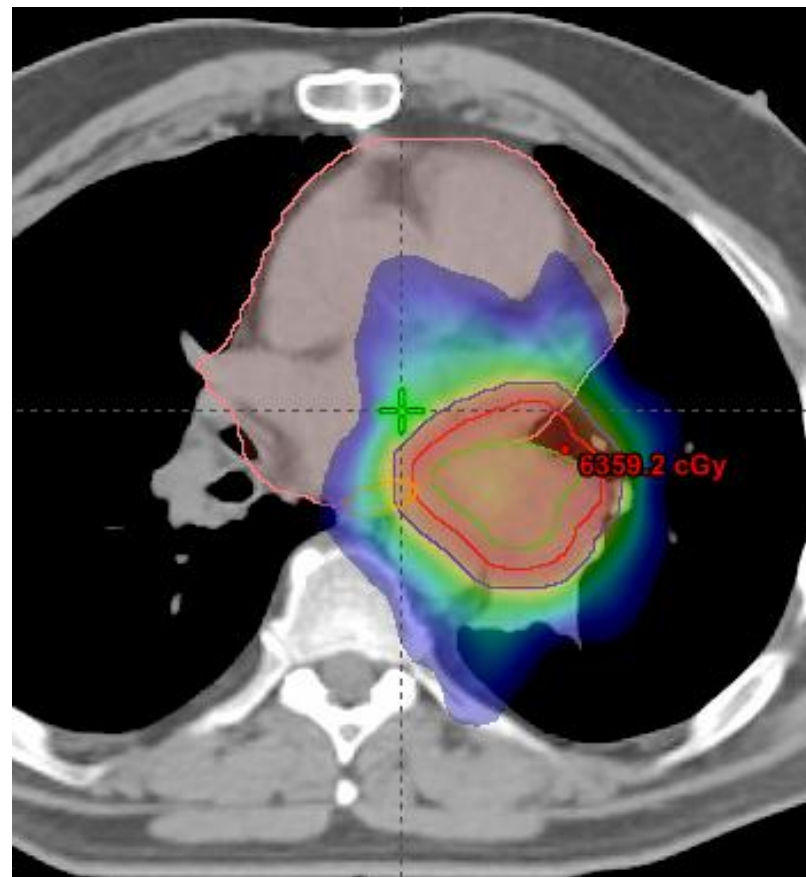
3D conformal radiation

- Uses CT for planning
- Manual planning
 - Desired dose distribution achieved through trial and error
- Moderate ability to spare normal structures

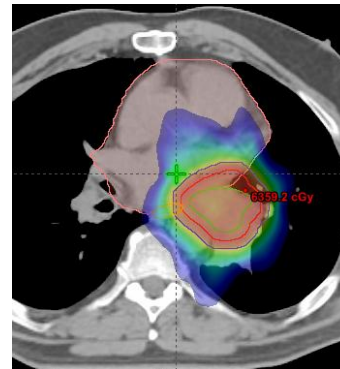
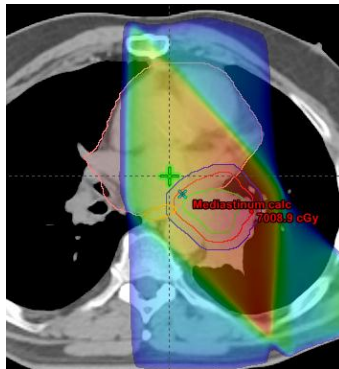
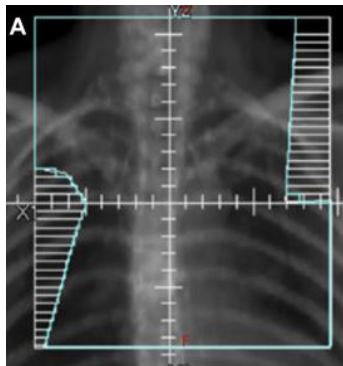


IMRT

- **Newest technique**
- **Computer algorithms try thousands of different plans to optimize dose distribution**
- **Significantly improves ability to spare normal structures**



2D vs 3DCRT vs IMRT



?



New technologies improve accuracy

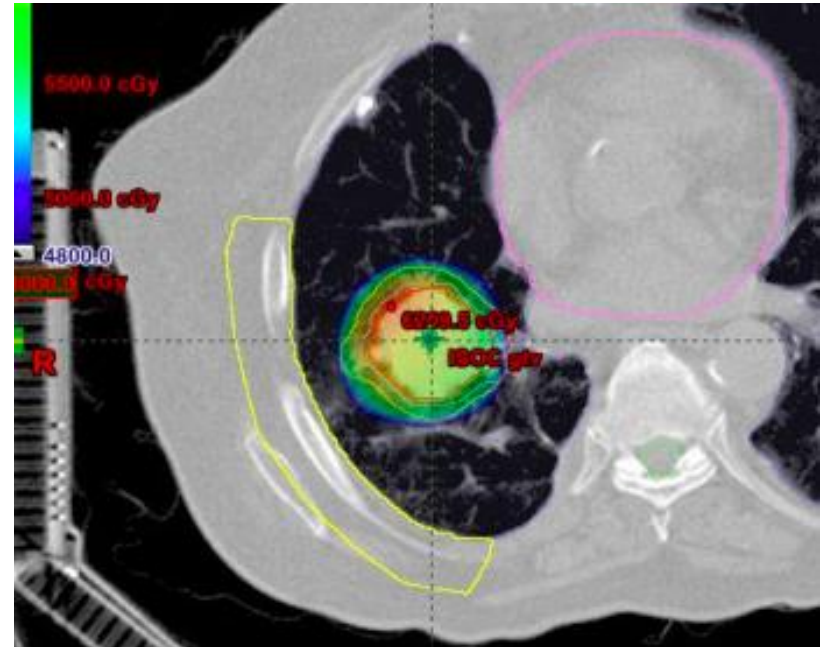


The development of SBRT

SEARCHING FOR A NEW PATH

Lung SBRT

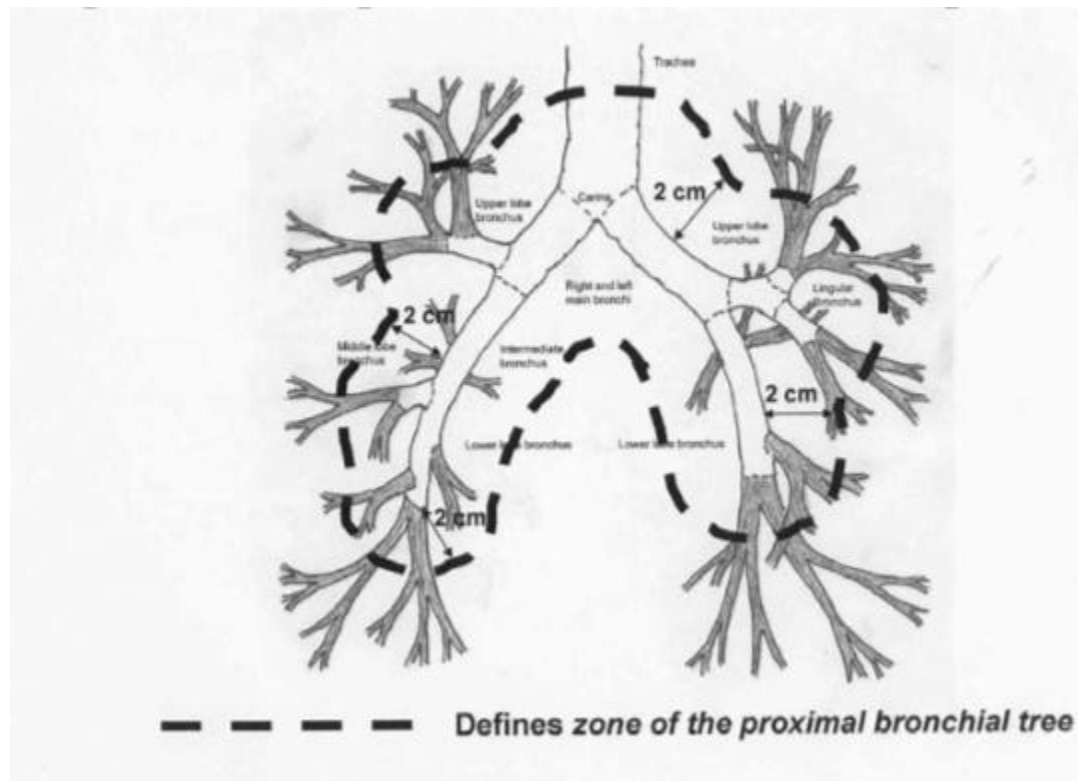
- “Stereotactic body radiation therapy”
- Developed in Japan
- Uses advanced planning and motion management
- High dose to tumor, low dose to everything else



Initial US experience

- **Ph I**
 - **37 pts, medically inoperable**
 - **Dose escalation from 8 Gy x 3**
 - **Maximum dose: 20 Gy x 3**
- **Ph II**
 - **70 pts, medically inoperable**
 - **60-66 Gy in 3 fx**
 - **LC (2 yr): 95%**
 - **High toxicity for central tumors**

Central “no fly zone”



RTOG 0236 – Changing the game

- **Ph II multi-institutional**
- **55 pts**
- **Medically inoperable**
- **“Peripheral” tumors, T1-2 (≤ 5 cm) N0**
- **60 Gy in 3 fractions**
- **Results (long-term update):**
 - **Primary tumor failure (5 yr): 7%**
 - **Local failure (tumor + lobe, 5 yr): 20%**
 - **Regional failure (5 yr): 18%**
 - **Distant failure (5 yr): 31%**
 - **OS (5 yr): 40%, median OS: 4 yr**

High dose, greater effect

- “Biologic equivalent dose”
- “Linear quadratic equation”
 - Based on cell culture exposed to varying doses of radiation
 - Allows conversion between schedules

Biologic equivalent dose



dose per fraction



$$B.E.D. = D * \left(1 + \frac{d}{\left[\frac{\alpha}{\beta}\right]}\right)$$

Total dose



“alpha/beta” ratio



BED substantially increased with SBRT

BED[(α/β) =10]:

- **Conventional Fractionation**
 - 72 Gy: 60 Gy in 30 Fx
 - 84 Gy: 70 Gy in 35 Fx
 - 88.8Gy: 74 Gy in 37Fx
- **Hypofractionation/SBRT**
 - 96 Gy: 60 Gy in 10 Fx
 - 106 Gy: 48 Gy in 4 Fx (Japan Oncology Group)
 - 112.5 Gy: 50 Gy in 4 Fx (MD Anderson, PTV)
 - 119 Gy: 70 Gy in 10 Fx (MD Anderson, GTV)
 - 151.2 Gy: 54 Gy in 3 Fx (RTOG, STAR Trial)
 - 180 Gy: 60 Gy in 3 Fx (RTOG, 80% Isodose)

But why?

- **Pro-apoptotic**
- **Vascular**
- **Immunologic**

Future directions for SBRT

- **Central tumors**
 - **Initially a “no fly zone”**
 - **High rate of severe toxicity in central patients with 60 Gy/3 fx**

Future directions for SBRT

Central tumors

- **RTOG 0813 – Ph I-II 50-60 Gy/5 fx**
 - **Results:**
 - 3 G5 toxicities in highest dose cohorts
 - None in 50 Gy/5 fx cohort
 - High local control
- **Adaptive: 60 Gy/8 fx, 60-70 Gy/10 fx**
 - High BED, excellent control (90%+)
 - Some studies show no G5 toxicities
 - In contrast, other series show higher rates
- **Still learning**
 - Unclear what is treatment vs tumor related
 - Not all central created equal → “ultracentral”

Future directions for SBRT

- **Large tumors**
 - **RR of 40 pts treated with SBRT**
 - **All had tumors > 5 cm**
 - **LC (18 mo): 91.2%**
 - **G3+ toxicity: 7.5%**

Future directions for SBRT

- **Chest wall invasion**
 - **13 pts, RR**
 - **LC (1 yr): 89%**
 - **2 of 13 (15%) experienced new or worsening CW pain (both grade 2)**

Future directions for SBRT

- **Single fraction**
 - **RTOG 0915 – randomized Ph II**
 - **48 Gy/4 fx vs 34 Gy/1 fx**
 - **High local control (1 yr): 92.7 vs 97.0%**
 - **Statistically similar OS and DFS but numerical differences**
 - **Needs further study**

Future directions for SBRT

- **Central tumors can be done safely**
 - **Moving towards ideal fractionation for ultracentral tumors**
- **Large tumors (> 5 cm) – safe, effective**
- **Chest wall invasion – safe, effective**
- **Single fraction – needs further study, option in poor performing pts**

The rise of hypofractionation

APPLYING THE PRINCIPLES OF SBRT TO STAGE III

Hypofractionation for stage III – a new way forward?

- **Ph I dose escalation**
- **“Locally advanced,” stage II-IV**
- **Pts ineligible for resection, SBRT, or concurrent chemoRT**
- **55 pts, 3 dose levels: 50-55-60 Gy in 15 fx**
- **Used IMRT and respiratory motion management to restrict dose to normal tissues**
- **Results:**
 - **MTD not reached**
 - **Even higher doses well-tolerated**
 - **No association between dose level and toxicity**
 - **Median OS 6 mo, no difference between dose levels**
- **Randomized ph III testing OS in progress**

Combining paradigms – hypofractionation and chemoRT

- **RTOG 1106**
 - **Randomized ph II**
 - **Stage IIIA/IIIB**
 - **Concurrent carbo/paclitaxel + consolidation x2 cycles**
 - **60 Gy/30 fx vs up to 80.4 Gy/30 fx**
 - **Using mid-treatment PET/CT to adapt volumes**
 - **Maximum tumor dose scaled to normal tissue dose**
 - **Primary endpoint: 2 yr locoregional PFS**
 - **Closed, awaiting results**

Combining paradigms – SBRT boost

- **U Kentucky ph II (37 pts)**
 - **Residual disease after chemoRT**
 - **Boost with SBRT to achieve BED 100 Gy**
 - **Well-tolerated, promising local control**
- **Brown ph I (12 pts)**
 - **ChemoRT to 50.4 Gy**
 - **Dose escalation of SBRT boost to primary and LN – 16 to 28 Gy/2 fx**
 - **MTD not reached, 100% 1 yr LC at higher dose levels**

Conclusion

- **Technologic advance is allowing new approaches**
- **Future of thoracic radiation oncology:**
 - **Higher dose to tumor**
 - **Less dose to normal tissue**
- **Awaiting results of recent trials before putting into widespread practice**

Thank you

Benefit of dose escalation complicated

- **RTOG 93-11 showed no difference in LC or OS**
- **Multiple other trials showed benefit to dose escalation**
 - **e.g. Michigan Ph I**
 - **Escalated to 103 Gy**
 - **For 63-69, 74-84, and 92-103 Gy:**
 - **The 5-year control rate was 12%, 35%, and 49%**
 - **5-year OS was 4%, 22%, and 28%**

Confounding factors muddy the waters

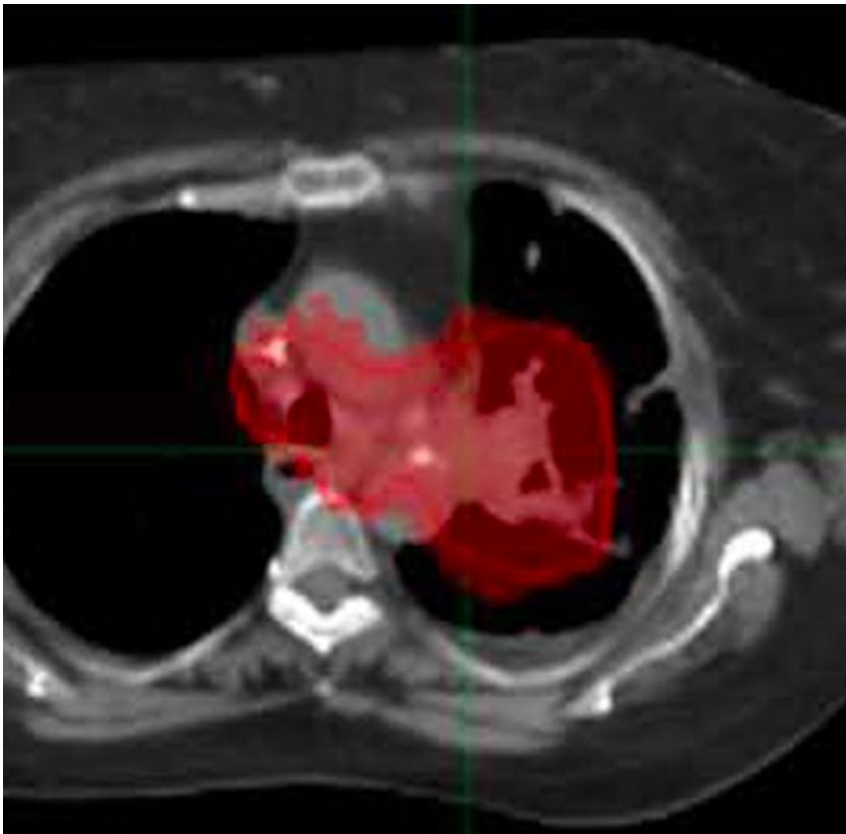
- **Heterogenous trials**
 - **Included stage I-III**
 - **No PET staging**
 - **Small trials**
 - **Variable use of chemo**
 - **15-20% of patients**
 - **Given sequentially**
- **Even with 3D planning, still old radiation techniques**
- **High rate of distant failure**

Early stage lung cancer is a unique opportunity

- **Lower risk of distant failure**
 - **Local control more important**
- **Small tumors**
- **Further from critical structures**

A different animal

Locally advanced NSCLC



Early stage NSCLC



Survival improvement with SBRT

- **Stage I NSCLC treated with radiotherapy**
- **VA database**
- **11,997 pts**
- **Adoption of SBRT doubled 4 yr OS (12.7% to 28.5%)**

Dose threshold important for maximum control

- LF for BED < vs \geq 100 Gy: 42.9 vs 8.4%

- Sig

