

Esophageal Cancer

Wesley A. Papenfuss MD FACS
Surgical Oncology
Aurora Cancer Care

David Demos MD
Thoracic Surgery
Aurora Cancer Care

No Disclosures

Learning Objectives

Review the classification scheme for GE junction cancers

Review workup and evaluation

Review Minimally Invasive Esophagectomy

Epidemiology

Esophageal Cancer is 6th leading cause of death worldwide

Incidence has been rising in Western countries

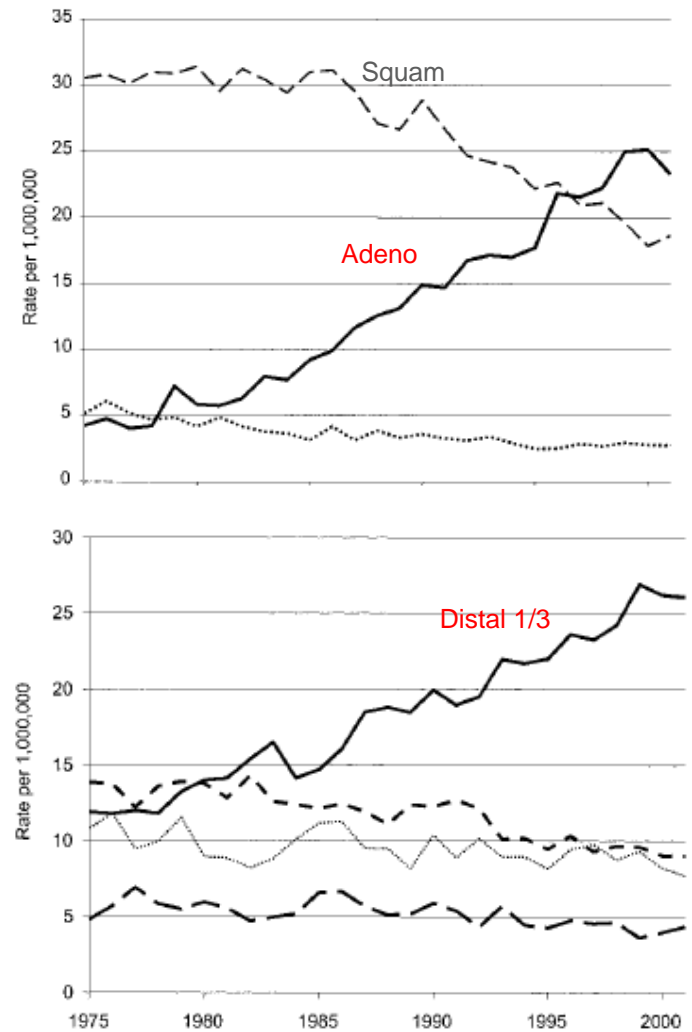
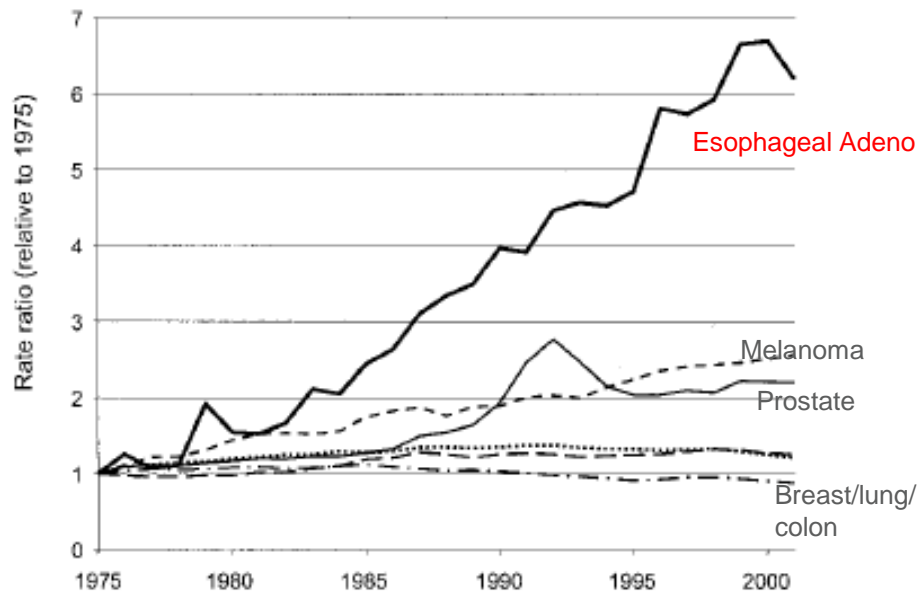
~17,000 cases anticipated 2015 in US

Adenocarcinoma – 70%

Obesity, GERD, Barretts

Squamous Cell Carcinoma – 30%

Tobacco, Alcohol



Pohl & Welch, JNCI 2005

Cancer at the GE Junction

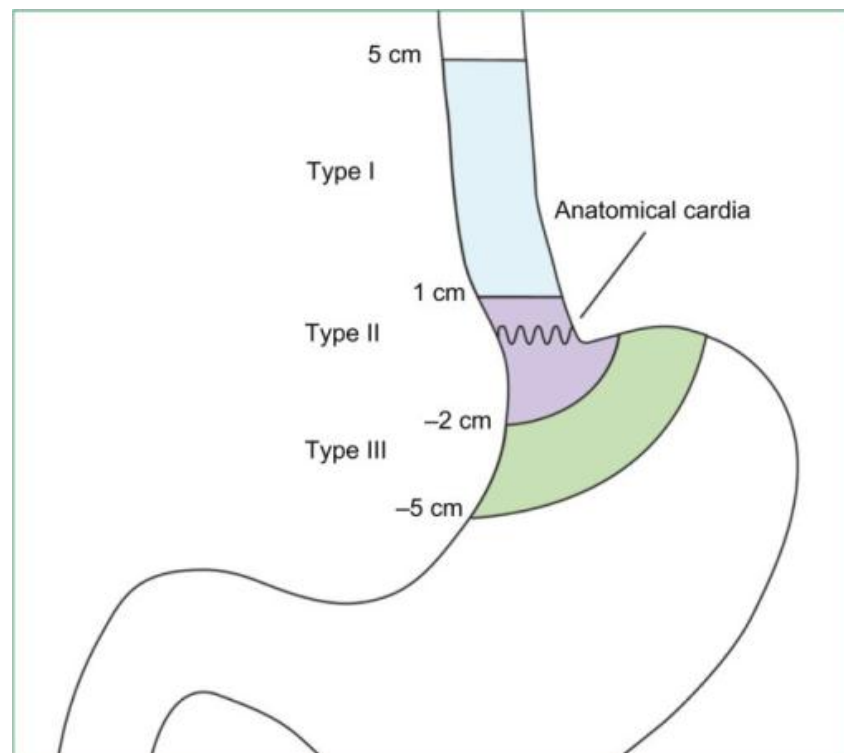
Siewart Classification

I – located in the distal 5 cm of esophagus, but does not cross GE junction

II – centered around the GE junction

III – greater than 5 cm distal to the GE junction

Treated as Gastric Cancer



Patient Examples of GE Junction Cancer

Esophageal Cancer I/II

Gastric Cancer III

Evaluation of the Esophageal Mass

High quality endoscopy

- Defines the anatomic esophagogastric junction

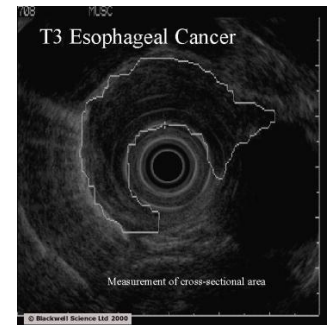
- Describes the anatomic location

Endoscopic ultrasound (EUS)

- Assessment of T-stage; Nodal involvement

- FNA of suspicious node

CT / PET



Staging of Esophageal Cancer

T Stage

T1a : lamina propria, muscularis mucosa

T1b : submucosa

T2 : muscularis propria

T3 : adventitia

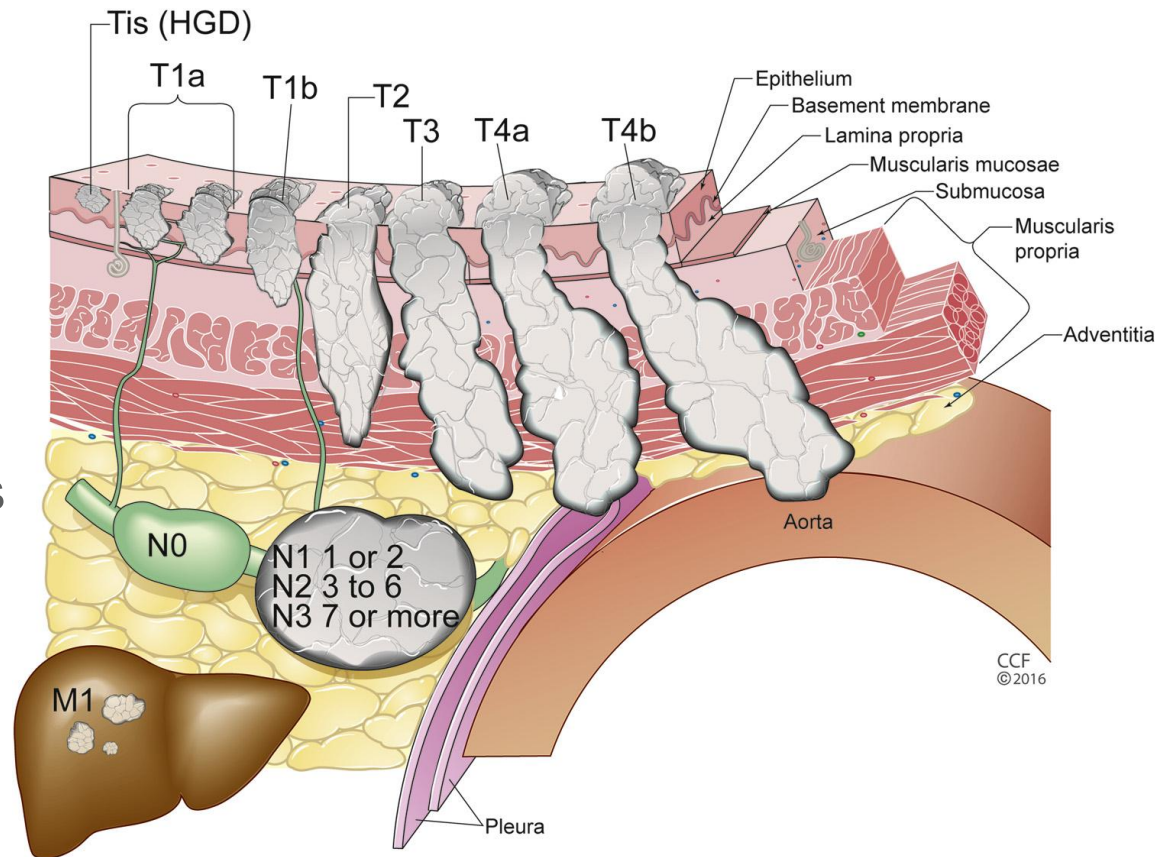
T4 : adjacent structures

N Stage

N1 : 1-2 nodes

N2 : 3- 6 nodes

N3 : ≥ 7 nodes



Accuracy of EUS for T Stage / Nodes

- Operator dependent
- Very good at early vs late (ie T1 vs T3/4)
- More difficult discerning between earlier lesions (ie T1a vs T1b vs T2)
- Review of 107 patients with early stage (Tis, T1) compared to final pathology
- Understaging:
 - 30% of T1a
 - 49% of T1b
- Overstaging:
 - 29% of T1a
 - 51% of T1b

Risk of Nodal Disease Based on T stage

- Lymph node involvement greatest predictor of prognosis
- T stage is best predictor of lymph node involvement

	T1	T1a	T1b	T2	T3	T4
Squamous	20%	0-3%	5-40%	40%	60%	80%
Adeno	10%	0-2%	0-40%	50%	80%	90%



Staging Laparoscopy

Used selectively in patient with Type II/III tumors

Yield is variable (5%-30%)

Extraluminal assessment of tumor location

Evaluate future conduit

Placement of feeding jejunostomy

Early Stage (T1, N0)

Endoscopic Therapies for Tis or T1a

EMR / ESD followed by ablation

Esophagectomy

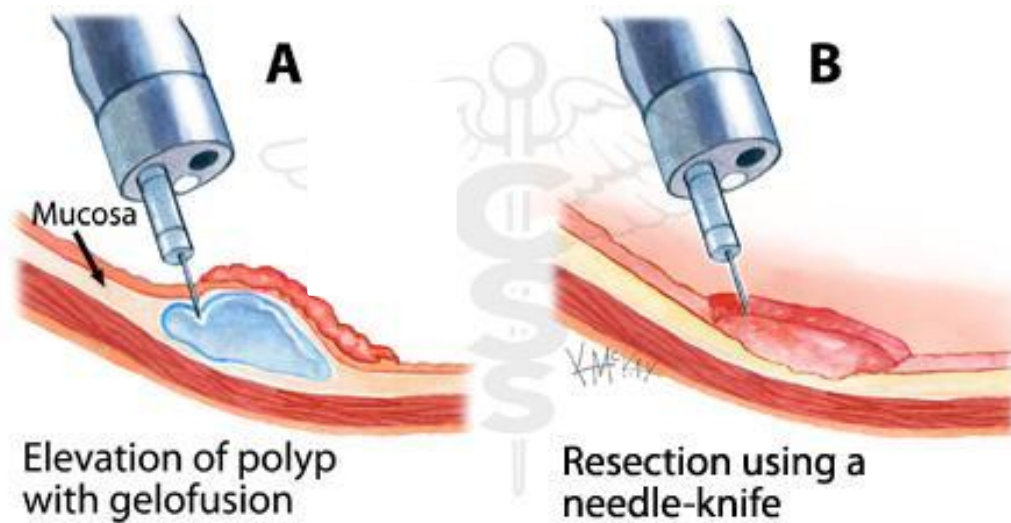
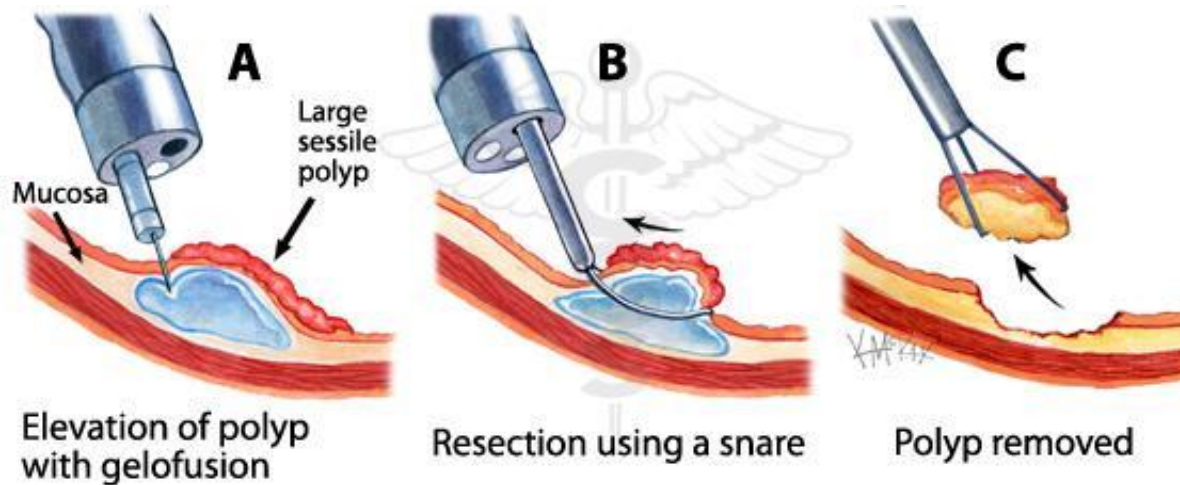
Locally Advanced (T2 or N+)

Neoadjuvant therapy

Metastatic

Definitive Chemotherapy

Endoscopic Mucosal Resection
Endoscopic Submucosal Dissection
Ablation of Surrounding Barrett's



Locally Advanced Disease

T2 tumors

N+ disease

Neoadjuvant Chemotherapy & Radiation

Paclitaxel and Carboplatin weekly x 5 weeks

50.4 Gy over 28 fractions

Randomized patients to preop chemoxrt + surgery
vs. surgery alone

Carboplatin & Paclitaxel

41.4 Gy radiation over 23 fractions

R0 resection rate (92% vs 69%)

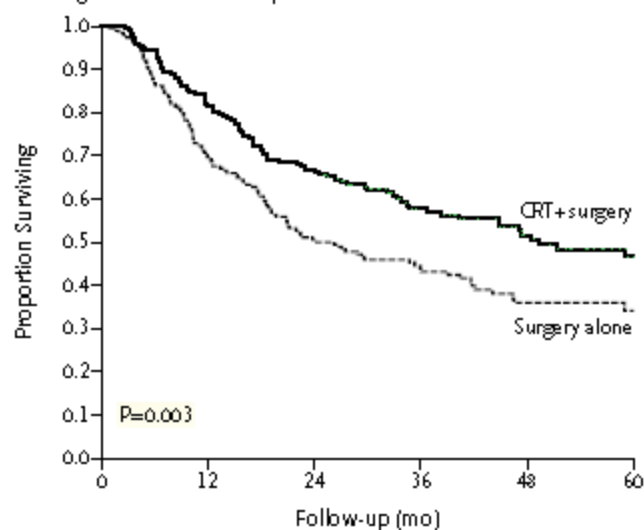
29% complete pathologic response

23% adenocarcinoma

49% squamous

CROSS Trial

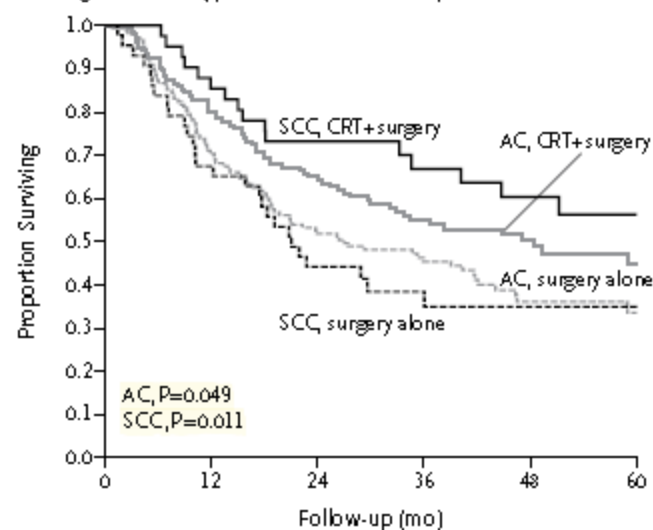
A Survival According to Treatment Group



No. at Risk

CRT + surgery	178	145	119	75	49	28
Surgery alone	188	131	94	62	33	17
Total	366	276	213	137	82	45

B Survival According to Tumor Type and Treatment Group



No. at Risk

AC, CRT+surgery	134	107	87	53	34	18
AC, surgery alone	141	99	73	50	25	10
SCC, CRT+surgery	41	35	30	21	15	8
SCC, surgery alone	43	29	19	11	8	4
Total	359	270	209	135	82	40

CRT had lower local recurrence rate

Anastomosis: 2.8% vs 8.7%

Mediastinum: 7.0% vs 20.5%

CRT had lower distant recurrence rate

Carcinomatosis: 4.2% vs 13.7%

Hematogenous: 28.6% vs 35.4%

No difference in Nodal recurrence

Celiac, Periaortic, Supraclavicular

Evaluated Perioperative Chemotherapy vs Surgery Alone

Gastric Cancer including the lower 1/3 of esophagus

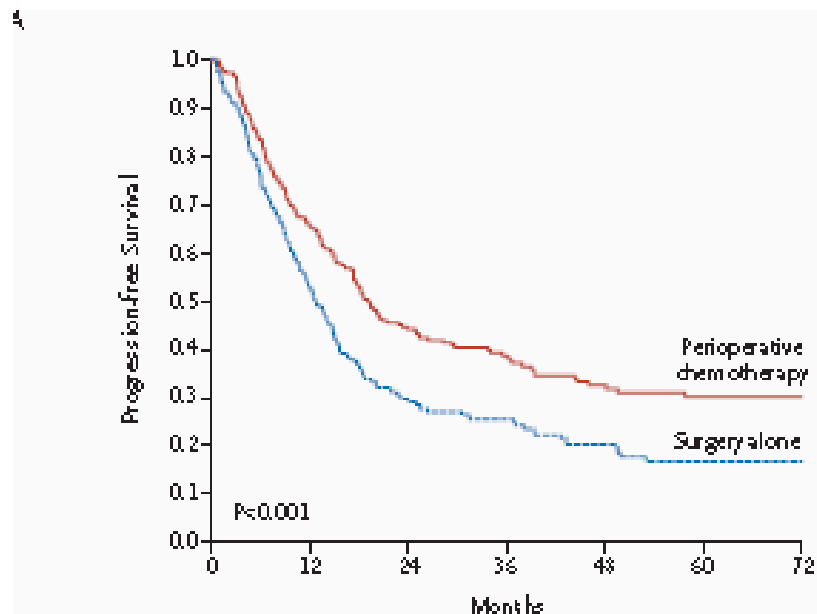
25% were GE Jxn (11%) or Esophageal (14%)

Epirubicin/Cisplatin/Fluoruracil – 3 cycles preop / 3 cycles post op

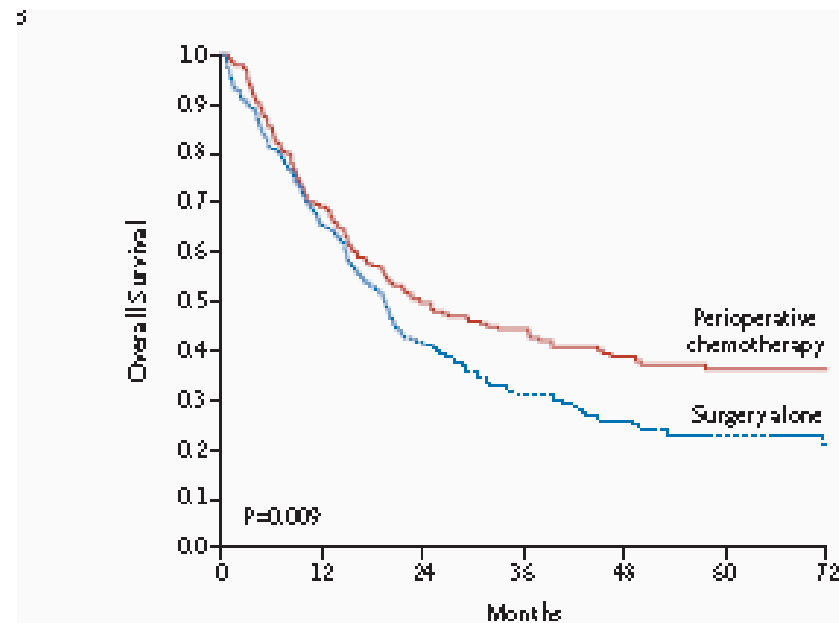
86% of patients completed preop chemo

55% started post op chemo

41% of patients assigned to the chemotherapy group completed all 6 cycles



No. at Risk							
Perioperative chemotherapy	250	159	99	63	46	32	23
Surgery	253	124	57	42	28	15	8



No. at Risk							
Perioperative chemotherapy	250	163	111	79	52	33	27
Surgery	253	155	80	50	31	18	9

FLOT

FLOT

Fluorouracil / leucovorin

Oxaliplatin

Docetaxel

Phase 3 FLOT 4 - abstract

FLOT vs ECF/ ECX

Improved OS (50 v 35 mo)

Improved PFS (30 v 18 mo)

Improved R0

Smaller tumors

FLOT vs Cross

Propensity matched study

No survival benefit

CRT had better tumor response, fewer nodes

ESOPEC

Randomizing pts to FLOT vs CROSS

Opened 2016

Expected 2023

<https://clinicaltrials.gov/show/NCT02509286>

Surgical Approaches to Esophagectomy

Transhiatal

Abdominal incision

Neck incision

Anastomosis in the Neck

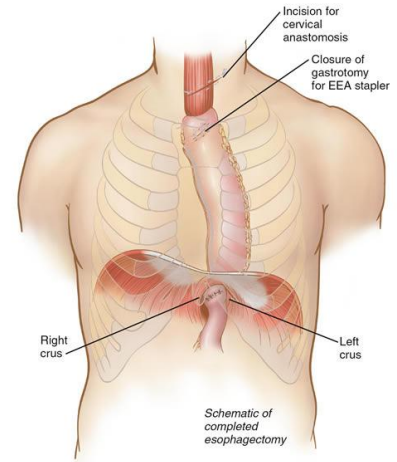


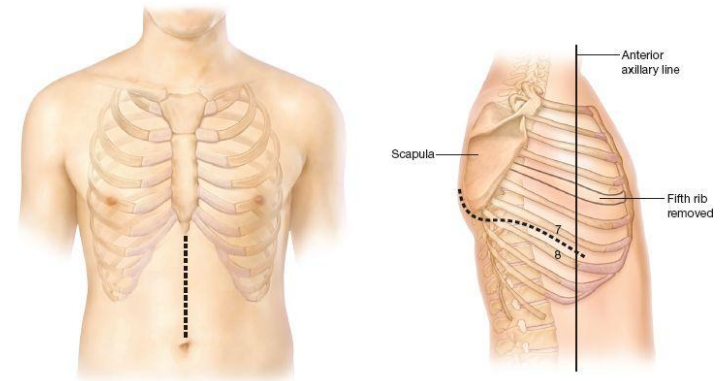
FIGURE 1-17 Completed minimally invasive esophagectomy.

Ivor Lewis

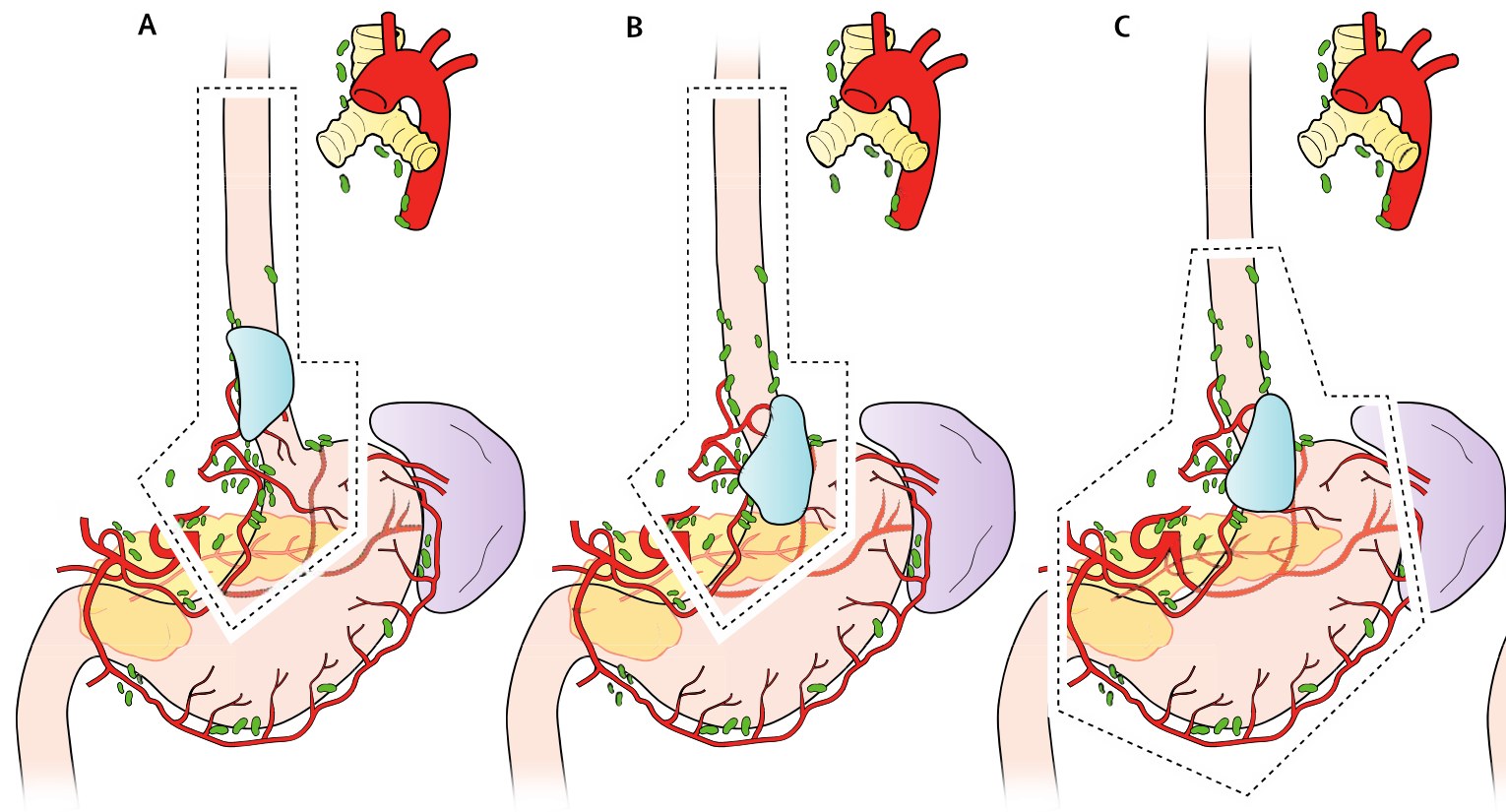
Abdominal incision

Right chest incision

Anastomosis in the Chest



Minimally Invasive Esophagectomy



Comparison of Open Approaches

	Transhiatal %	Ivor Lewis %	P-Value
Pneumonia	14	16	NS
Sepsis/Shock	17.8	20.9	NS
Return to OR	10.9	14.5	0.046
Morbidity	49.1	49.4	NS
Serious Morbidity	39.6	43.5	NS
Mortality	2.9	4.7	0.095

Minimally Invasive Esophagectomy

Improvement in Morbidity

Extent of Lymphadenectomy

Multiple approaches described

>1000 MIE

48% Neck Anastomosis

52% Chest Anastomosis

	Neck	Chest	P value
RLN	8%	1%	<0.001
Leak	5%	4%	0.4
Mortality	2.5%	0.9%	0.08

Luketich, Ann Surg 2012

MIE vs Traditional Esophagectomy

RCT of 115 patients to MIE (prone) vs Right Thoractomy, Laparotomy, Cervical incision

> 90% had modern neoadjuvant chemoradiation: carboplatin, paclitaxel, XRT

Significant difference in early postoperative pulmonary complications favoring MIE

34% vs 12% in hospital

No difference in LN, RO, Mortality

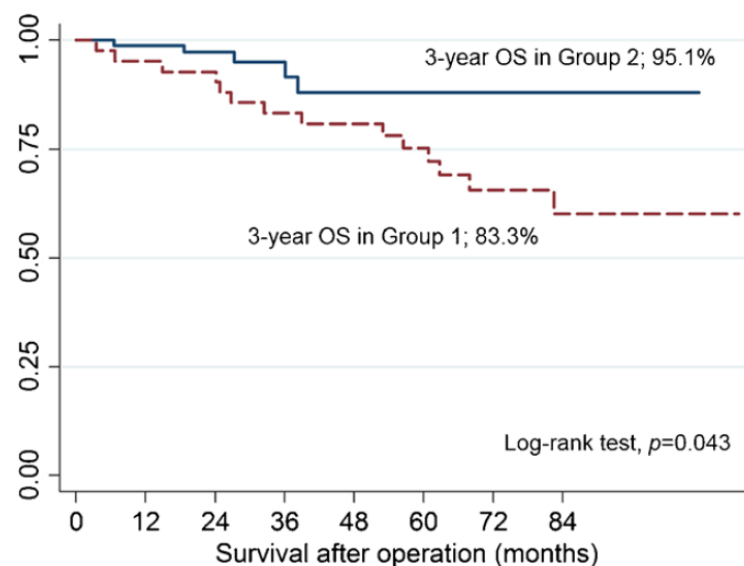
Extent of Mediastinal Lymphadenectomy and Survival in Superficial Esophageal Squamous Cell Carcinoma

Seong Yong Park¹ • Dae Joon Kim¹ • Taeil Son² • Yong Chan Lee³ • Chang Young Lee¹ • Jin Gu Lee¹ • Kyung Young Chung¹

- Single-institution retrospective study of 129 patients undergoing curative-intent esophagectomy for pT1 ESCC
 - Group 1 (n=42): standard MLND
 - Group 2 (n=87): Extensive MLND

Table 3 Surveillance data

Variable	Group 1 (n = 42)	Group 2 (n = 85)	p value
Recurrence	10 (23.8%)	3 (3.5%)	0.001
Loco-regional	6 (14.3%)	0	0.001
Distant	2 (4.8%)	0	0.108
Combined	2 (4.8%)	3 (3.5%)	1.0
Death	14 (33.3%)	5 (5.9%)	<0.001
Cancer related	7 (16.7%)	3 (3.5%)	0.015
Intercurrent disease	5 (11.9%)	2 (2.4%)	0.039
Unknown	2 (4.8%)	0 (0%)	0.108



The Aurora Approach

THE TEEM

Trans
Hiatal
Esophagectomy

Transcervical
Endoscopic
Esophageal
Mobilization

****Da Vinci Xi Robot-Assisted**



THE TEEM Approach

- Aurora one of few centers around the world to use this technique
 - Combines the oncologic advantage of transthoracic approach with the morbidity advantage of the transhiatal approach
-

Video / Graphic

THE TEEM

Year	Author	Country	Operative Data			Complications				
			N	Abdomen	OR time	No of LNs	Pulmonary	Leak	RLN Palsy	LOS 30d Mort
1993	Bumm	Germany	30	Open			4 (13.3%)	6 (20%)	2 (6.6%)	2 (6.6%)
2004	Tangoku	Japan	41		269		10 (24.4%)	4 (9.8%)	15 (36.6%)	
2010	Wu	China	40	Open 32 (80%), Lap 8 (20%)	220	12.6	1 (2.5%)	3 (7.5%)	2 (5%)	11.4
2011	Parker	US (Mayo Florida)	8	Laparoscopic	292	23		2 (25%)	2 (25%)	7 0
2012	Feng	China	27	Open	194	11.4	7 (26%)	5 (18%)	5 (18%)	11.1 1 (3.7%)
2014	Wang	China	70		150	13.8	4 (5.7%)	5 (7.1%)	2 (2.9%)	10
2015	Okumura et al	Japan	63	Open	403	22.9	4 (6.3%)	14 (22.2%)	6 (11.5%)	1 (1.6%)
2016	Nomura	Japan	20	Open	315	8.2				
2016	Mori	Japan	22	DaVinci S for mediastinum	524	30		4 (18%)	1 (4.5%)	18 0
2017	Fujiwara	Japan	60		363	38	4 (6.7%)	9 (15%)	20 (33.3%)	31 0
2018	Aurora Health Care	US								

Aurora 2015-2016	Time	Afib	Leak	VC	Pneum	Bleed	30 d mort
N= 26	221 min	37%	6%	15%	7%	7%	0

Thank You

Complete pathologic response is higher in SCC than Adeno

Progression free survival is better in patients treated with surgery

Overall survival is not improved

Cancer specific survival was improved in surgery groups

? High mortality rate in surgery arm (10%)

81 patients from 2001 – 2012

Endoscopic resection of T1a patients

Ablation of associated Barrett's

7 patients had T1b disease (all negative margins)

3.25 years of follow up

84% eradication of HGD

One patient developed invasive carcinoma

Treated endoscopically

100% cancer specific survival

Human epidermal growth factor (HER2)

Associated with cell proliferation

Amplified in 10-25% of GE Jxn cancers

Trastuzumab

Monoclonal antibody to HER2

Trastuzumab for Gastric Cancer Study (ToGA)

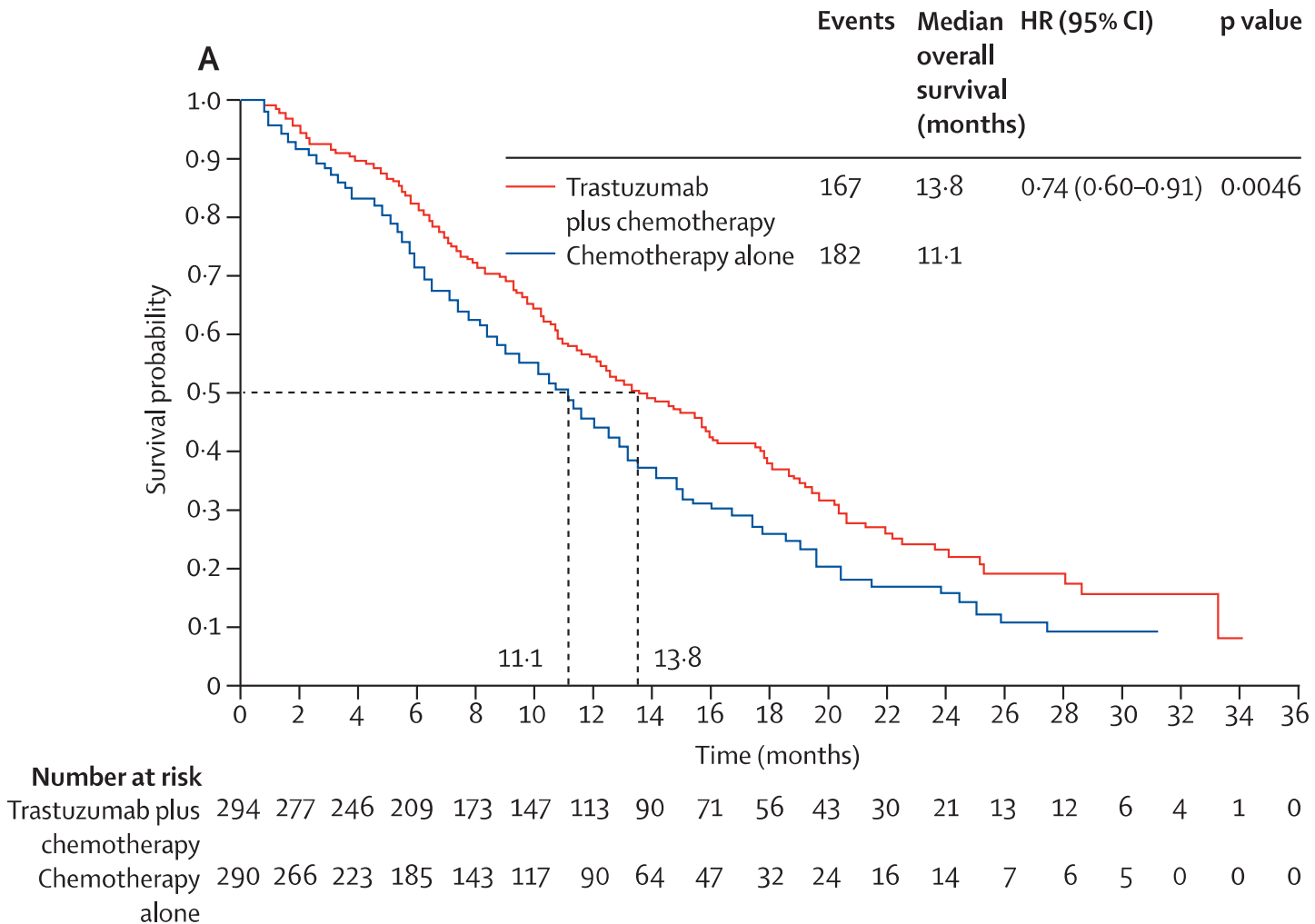
Compared Chemotherapy +/- trastuzumab

Capecitabine/fluorouracil plus cisplatin

Locally advanced or Metastatic Gastric/EGJ cancers

Approximately 20% were EGJ

Improvements in OS, PFS



Evaluate the addition of Trastuzumab to
Neoadjuvant therapy for GE Jxn cancer

Carboplatin, Paclitaxel, XRT +/- trastuzumab

Anticipated 480 Enrollees

2010 – 2018

<http://clinicaltrials.gov/show/NCT01196390>

Local PI: Dr. Robert Behrens